

APL: Sheet One

① Unlike +LC, if you did it, "Upside down" derivation trees will not be allowed.

If you did +LC, you will be familiar with the derivation game and how it is all about applying the correct and matching rules.

Here is a little recipe for doing these:

1. Remind yourself of the rules
2. Write down what you want to prove
3. Until you hit axioms and have dealt with all proof obligations:
 - select rule with premise that matches what you wanna prove
 - apply it

Odd/Even Rules:

Because the concepts of odd and even are defined using rules that depend on each other, this is an example of "Simultaneous Generation of Judgements".

EVEN? $\frac{}{\text{zero even}}$

This "axiom", called so as it has no premises, tells us that zero is even.

ODD $\frac{n \text{ even}}{\text{succ}(n) \text{ odd}}$

If the predecessor of something is even, we know it is odd.

EVEN $\frac{n \text{ odd}}{\text{succ}(n) \text{ even}}$

If the predecessor of something is odd we know it is even

$$\frac{\frac{\text{zero even}}{\text{succ}(\text{zero}) \text{ odd}}}{\text{succ}(\text{succ}(\text{zero})) \text{ even}} \text{ EVEN}$$

$$\frac{\text{succ}(\text{succ}(\text{succ}(\text{zero}))) \text{ odd}}{\text{succ}(\text{succ}(\text{succ}(\text{succ}(\text{zero})))) \text{ even}} \text{ ODD}$$

↳ "3 is odd"

The rule naming here is super helpful because to prove something to be odd, we need to reach for the odd rule.

② i)

I'm a Haskell girl, and Alex already drew correspondences between rules and Haskell data types, so I'll start with what I know:

> data NatList
> = Empty
> 1 cons Nat NatList

=> I'll need two rules for lists of nats,
and I'll need to call out to our nat
rules

Let's do the easy one first:

$$\frac{}{[] \text{ natlist}} \text{ EMPTY}$$

↑ ↑
Haskell syntax what I've called my judgement / type
same as constructor

Now for the more complicated one.
The constructor has two args, so I will
need two premises (just like succ needed
one).

$$\frac{n \text{ nat} \quad ns \text{ natlist}}{n : ns \text{ natlist}} \text{ CONS}$$

Seems like we can test it by seeing
if we can show $\text{zero} : []$ is a nat
list.

$$\frac{\text{zero nat} \quad [] \text{ natlist}}{\text{zero} : [] \text{ natlist}} \text{ CONS}$$

ii)

This will be very similar to the induction rule for natural numbers:

Let P be a property of natural numbers.

If

- $P(\text{zero})$
- whenever $P(n)$, $P(\text{succ}(n))$ holds

then $P(n)$ holds for all n nat.

It seems that an induction principle needs a proof obligation for each rule, and that if a rule has premises we can assume them ("induction hypothesis") to prove the conclusion.

Now let's adjust this for lists:

Let P be a property of lists of natural numbers.

If

- $P([])$ -- empty
- whenever n nat and $P(ns)$,] obligation [premise
 $P(n::ns)$ holds -- cons

then $P(ns)$ holds for all ns natlist.

assume
premises

ii) help me already almost did this
 but with a simpler example.

This is the same game as (1) but
 with our rules

$$\begin{array}{c}
 \frac{}{\text{zero nat}}^Z \quad \frac{\frac{}{\text{zero nat}}^Z}{\text{succ}(\text{zero}) \text{ nat}}^S \quad \frac{}{[]}^{\text{empty}} \text{ natlist} \\
 \hline
 \frac{}{\text{zero nat}}^Z \quad \frac{\text{succ}(\text{zero}) : [] \text{ natlist}}{}^{\text{cons}} \\
 \hline
 \text{zero} : \text{succ}(\text{zero}) : [] \text{ natlist} \\
 \text{"[0, 1]"}
 \end{array}$$

③

Derivable means that the derivation of the rule ends in its premise

Our "derivable" rule is

$$\frac{n \text{ even}}{\text{succ}(\text{succ}(n)) \text{ even}}$$

So we expect our derivation of $\text{succ}(\text{succ}(n))$ to end up being a derivation for n even.

Let's write our conclusion, apply the rules and see if this happens.

$$\frac{\frac{n \text{ even}}{\text{succ}(n) \text{ odd}} \text{ ODD}}{\text{succ}(\text{succ}(n)) \text{ even}} \text{ EVEN}$$

Yes it did! This means it is indeed derivable, and by presenting the derivation steps between the conclusion and the premise, we have shown that

④

To show that a rule is admissible, we need to be able to take a derivation of its premises and be able to construct a derivation of the conclusion from it.

This question asks us to do this for

$$\frac{n \text{ even}}{n \text{ nat}}$$

"if something is even,
then it is a nat"

Let's run some examples and see if we can spot a pattern for creating a derivation of n nat from n even

When $n = \text{zero}$...

derivation of premise: derivation of conclusion:

$$\frac{}{\text{zero } \underline{\text{even}}} \text{EVENZ}$$

$$\frac{}{\text{zero } \underline{\text{nat}}} \text{Z}$$

Well, in this case we just need to perform some renaming:

$$\begin{aligned} \text{even} &\leadsto \text{nat} \\ \text{EVENZ} &\leadsto \text{Z} \end{aligned}$$

What about $\text{succ}(\text{succ}(\text{even}))$?

$$\begin{array}{r}
 \text{EVEN } z \\
 \hline
 \text{zero } \underline{\text{even}} \\
 \hline
 \text{succ}(z) \text{ } \underline{\text{odd}} \quad \text{ODD} \\
 \hline
 \text{succ}(\text{succ}(z)) \text{ } \underline{\text{even}} \quad \text{EVEN}
 \end{array}$$

$$\begin{array}{r}
 z \\
 \hline
 \text{zero } \underline{\text{nat}} \\
 \hline
 \text{succ}(z) \text{ } \underline{\text{nat}} \quad S \\
 \hline
 \text{succ}(\text{succ}(z)) \text{ } \underline{\text{nat}} \quad S
 \end{array}$$

Nice! It seems like we just swap out ODDs and EVENS for Ss.

This rule is admissible because we can construct a derivation of the conclusion by performing the following relabellings on the derivation of the premise:

$$\begin{array}{lcl}
 \text{EVEN } z & \rightarrow & z \\
 \text{ODD} & \rightarrow & S \\
 \text{EVEN} & \rightarrow & S \\
 \underline{\text{odd}} & \rightarrow & \underline{\text{nat}} \\
 \underline{\text{even}} & \rightarrow & \underline{\text{nat}}
 \end{array}$$

WAIT WAIT WAIT

Something fishy is going on here. Nothing in our premise says we can work with odd numbers.

$\Rightarrow$ We need to strengthen the statement to accommodate odd numbers by adding

$$\frac{n \text{ odd}}{n \text{ nat}}$$

Now, that was my thought process and how I worked this out but we should present this more formally.

Really, what is happening here is proof by mutual induction of $n \text{ odd}$ and $n \text{ even}$ as

the rewrite rules are covering what to do case by case.

Let's now present our answe more formally:

The following rules are admissible:

$$\frac{n \text{ even}}{n \text{ nat}} \qquad \frac{n \text{ odd}}{n \text{ nat}}$$

Proof by mutual induction on n even and n odd

[Case: $\text{EVEN} \neq$]

$\Rightarrow n$ is zero, which is trivially a nat

$$\frac{}{0 \text{ nat}} \quad \text{Z} \quad \text{DEVEN}$$

[Case: EVEN]

IH = if n odd then n nat

The even rule tells us the shape of what we must show to be a nat:

$$\frac{x \text{ odd}}{\text{succ}(x) \text{ even}} \quad \text{EVEN}$$

By IH x is a nat. (1)

Now we must show $\text{succ}(x)$ to be a nat

$$\frac{\frac{}{x} \text{ (1)}}{\text{succ}(x)} \text{ S}$$

DEVEN

[Case: ODD] Analogous to EVEN case. $\square$

Proof by induction recipe:

1. Decide what to induct on.
 - In general this is the most relevant judgement that you have all consequences for
 - When you have a choice between two of the same type, pick the one with the most interesting rule in what you are trying to prove
2. Take the proof case by case; one case per rule.
 - remember to assume your premises
 - write down the IH if it is a recursive rule

If you are stuck, write all the facts you know in front of you, and consider them fun if they can help.

③i) Here we go again!
 This is a great way to acquaint yourself
 with some n.b.s.

$$\begin{array}{r}
 \text{zero nat} \quad \text{Z} \\
 \hline
 \text{succ(zero) nat} \quad \text{S} \\
 \hline
 \text{sum}(\text{zero}, \text{succ(zero)}, \text{succ(zero)}) \quad \text{BASE} \\
 \hline
 \text{sum}(\text{succ(zero)}, \text{succ(zero)}, \text{succ(succ(zero))}) \quad \text{IND} \\
 \hline
 1 + 1 = 2 : 0
 \end{array}$$

ii)

$\text{sum} :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}$

$\text{sum } \text{zero } b = b$

-- BASE

$\text{sum } (\text{succ } a) b = \text{succ } (\text{sum } a b)$

-- IND

Yes this uses pattern matching, and you can almost think of that as deciding which rule you apply.

One case per rule. BASE is basically identical but IND requires a recursive call.

iii)

this smells like a proof by induction!
why? Well, so far in the course that is how you have been taught to prove things, this is the judgements/induction sheet, after all, and finally not in a course context note, the things we are working with are defined using rules that come with induction principles.

The next question, is what do we induct on? The sum judgement seems like the best choice as it encompasses our premise.

Proof by induction on sum .

[Case: BASE]

$$\frac{b \text{ nat}}{\text{sum}(\text{zero}, b, b)}$$

← remember we get to assume the premises

Zero is a nat by the zero rule:

$$\frac{}{\text{zero nat}} \quad \text{Z}$$

b is a nat as given by a premise.

thus all three args to sum are nats

[Case: IND]

BASE

$$\frac{\text{sum}(a, b, c) \quad \text{IH}}{\text{sum}(\text{succ}(a), b, \text{succ}(c))} \quad \text{IND}$$

IH = a, b, c are rats.

This leaves us with obligations to show that $\text{succ}(a)$ and $\text{succ}(c)$ are rats.

This is done using the succ rule and the fact that a and c are rats from the IH.

□ IND

□

(iv)

Remember $\text{sum}(a, b, c) \sim a + b = c$.
so, intuitively we know there will be a c.
Furthermore, if we see the judgement
sum as specifying a function, then
better well be a uniquely defined output.

But how to prove this?

We want to show that for any a nat
b nat there is a unique c nat.

When it comes to showing that something
is the case for all types defined by
rules we always reach for our
favourite tool: induction!

But we can't induct on a and b. This
is science! Only change one variable
at a time.

To know how to pick who to induct on,
just look to the rules and see who has
the most interesting stuff done to them.

e.g. In sum b remains unchanged,
so inducting on them won't
tell us much.

a on the other hand is where the
action is at!

Proof by induction on a nat.

[Case: zero]

When a = zero, the BASE rule of sum
is triggered:

$$\text{sum}(\underset{a}{\text{zero}}, \underset{b}{b}, \underset{c}{b})$$

In this case, $c = b$. No need to create any result, we already have it in the form of b !

[Case: SUCC]

this triggers the IND case of sm:

$$\frac{\text{sum}(x, b, c')}{\text{sum}(\text{succ}(x), b, \text{succ}(c'))} \text{IND}$$

IH = there exists c' such that $\text{sum}(x, b, c')$

Using that and the IND rule, we can conclude that the c we want for $a = \text{succ}(x)$ is $\text{succ}(c')$

□ IND

□

(v) Oh gosh we need to prove something again... I wonder how...

INDUCTION!

Since we have all components to talk about sum, we will induct on that.

Proof by induction on sum.

[Case: BASE]

In this case $c = b$, so since b doesn't change $c = c'$

$\vdash \text{BASE}$

[Case: IND]

$$\frac{\text{sum}(x, b, y)}{\text{sum}(\text{succ}(x), b, \text{succ}(y))} \text{ IND}$$

It! = our statement holds for $\text{sum}(x, b, y)$
i.e. If we had $\text{sum}(x, b, y')$ $y = y'$

$$\Rightarrow c = \text{succ}(y) \text{ and } c' = \text{succ}(y')$$

which are equal by transitivity of $=$

$$c = \text{succ}(y) = \text{succ}(y') = c'$$

(vi)

To answer this, we need to think about what it means to be a function: a function is a unique mapping between inputs and outputs.
ah ha!

We have shown outputs to be unique.

Then if we wanted a total function we would need each input to produce an output.

From our proofs of existence and uniqueness in (iv) and (v), we can conclude that sum is a total function on $\mathbb{N}$.

the moral of the story is: if in doubt, proof by induction.

things i noticed:

- People seem most comfortable inducing on $\mathbb{N}$, but that is not always who we wanna induct on. BECAUSE